

## Recent Developments in Supervision of Anti-Money Laundering and Counter-Financing of Terrorism

19 November 2019 (Tuesday)

### Key Session Features

Anti-Money Laundering and Counter-Financing of Terrorism (AML/CFT) continues to be an item high on the agenda of both regulators and banks, with increasing attention being placed on the effectiveness of the risk-based AML/CFT systems implemented by the banking industry in mitigating genuine risks associated with money-laundering and terrorist financing (ML/TF).

In the seminar, the HKMA will share and discuss the following areas:

- (i) the Financial Action Task Force's Mutual Evaluation Report of Hong Kong including the findings and recommendations, and the implications for the banking sector; and
- (ii) enhancing the approaches and toolkits for AML/CFT efforts – How AIs should focus efforts in areas which may offer the greatest potential to address ML/TF risks; continue to develop the information-sharing approach of Fraud and Money Laundering Intelligence Taskforce (FMLIT); and explore how technology can be used to more effectively and efficiently combat ML/TF risk, such as analytics in monitoring.

### Target Participants

The seminar is useful for banking practitioners **at all levels of all AIs** who would like to understand more about the HKMA's supervisory work on AML/CFT.

It is particularly relevant to banking practitioners who are responsible for the implementation of AML/CFT systems, e.g. compliance officers, money laundering reporting officers and bank management.

### Speakers

#### Carmen CHU

Executive Director (Enforcement & AML)  
The Hong Kong Monetary Authority

#### Stewart MCGLYNN

Division Head (AML & Financial Crime Risk)  
The Hong Kong Monetary Authority

### Seminar Details

#### HKIB CPD / SFC CPT / PWMA OPT / ECF CPD - 2 hours

Date	: 19 November 2019 (Tuesday)
Time	: 3:00pm – 5:00pm
Registration	: 2:15pm Please present confirmation e-mail with registration number and a business card for registration.
Venue	: The Ballroom, 18/F The Mira Hong Kong Mira Place 118 – 130 Nathan Road Tsim Sha Tsui, Hong Kong
Language	: English
Fee	: HK\$450 per participant
Enrolment	: By <b>Fax</b> at (852) 2682 0218 or By <b>Email</b> to <a href="mailto:project@hkib.org">project@hkib.org</a> : (852) 2153 7818 (852) 2153 7858

*\* Limited seats.  
Early enrolment is recommended.*

Please complete in English

**Registration**  
**Recent Developments in Supervision of**  
**Anti-Money Laundering and Counter-Financing of Terrorism**

No	Surname	First Name	Department	Position	Contact no.	E-mail Address <small>(Confirmation e-mail will be sent one week before course commencement)</small>
	<small>(Same as on ID Card)</small>					
1						
2						
3						
4						
5						
6						

Name of Authorized Institution: \_\_\_\_\_

**Contact Person (if applicable)**

Name: \_\_\_\_\_ Position: \_\_\_\_\_

E-mail: \_\_\_\_\_ Contact no.: \_\_\_\_\_

Please complete in English

## Registration

### Recent Developments in Supervision of Anti-Money Laundering and Counter-Financing of Terrorism

#### Payment Method

- Cheque / e-cheque\* made payable to "The Hong Kong Institute of Bankers"

Amount:        HKD \_\_\_\_\_ Cheque no.        \_\_\_\_\_

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please mail to: **The Hong Kong Institute of Bankers, 3/F., Guangdong Investment Tower, 148 Connaught Road Central, Hong Kong**

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please state the **programme code** under "remarks" and email together with the completed registration form to [project@hkib.org](mailto:project@hkib.org)

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#### Terms and Conditions

1. Payment is due at the time of enrolment. All fees paid are non-refundable and non-transferrable. Refund is only applicable to rejected enrolment.
2. We reserve the right to reject an enrolment at any time.
3. Enrolment result will be sent by e-mail at least 1 week prior to the course commencement.
4. Priority of enrolment will be given on a 'first-come-first-served' basis.
5. HKIB would issue the "Certificate of Attendance" to participants who have complied with the "[HKIB Attendance Policy](#)".
6. The certificate and receipt will be e-mailed to the corresponding e-mail address within 1 month after completion of the programme.
7. We reserve the right to cancel, modify and/or postpone the course due to unforeseen circumstances.
8. The information given and personal data collected will be used for the purpose of administration and communication by the Institute.
9. For the typhoon or rainstorm arrangements, please refer to the confirmation e-mail or HKIB's website ([www.hkib.org](http://www.hkib.org)).